CIS-MONOLIGNOLS IN FAGUS GRANDIFOLIA AND THEIR POSSIBLE INVOLVEMENT IN LIGNIFICATION

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(Received 30 July 1985)

Key Word Index—Fagus grandifolia; Fagaceae; American beech; biosynthesis; cis/trans-monolignols; lignin; p-hydroxycinnamyl alcohols; dehydrogenative polymerized lignin.

Abstract—Lignification in all plant species is assumed to occur exclusively via the dehydrogenative polymerization of the trans (E) monolignols, p-coumaryl, coniferyl and sinapyl alcohols. This assumption may have to be revised somewhat due to the presence of both E (trans) and Z (cis) isomers of p-hydroxy substituted cinnamic acids in grasses, and cis-coniferyl and cis-sinapyl alcohols in beech bark (Fagus grandifolia). This suggests that lignification of these tissues may use either cis- or trans-monolignols. By means of H_2O_2 /peroxidase induction, we have prepared synthetic dehydrogenative polymerized (DHP) lignin from both cis- and trans-coniferyl alcohols. Under the tests employed, the degradation products from both DHPs were identical suggesting that either cis- or trans-monolignols are suitable substrates for this enzyme and, therefore, lignification. An alternative hypothesis is that the cis-monolignols accumulate in beech bark because they are not suitable substrates. Therefore, it would follow that the enzymes involved in lignification in vivo are highly specific.

INTRODUCTION

Cell wall-bound hydroxycinnamic acids in grasses (Poaceae) grown under normal dark/light cycles, exist as mixtures of trans(E)(1-3) and cis(Z)(4-6) isomers [1-4]. This is in contrast to dark-grown plants, where only the trans-isomers are evident [1,4]. These findings have been

explained by results from in vitro studies, i.e. when hydroxycinnamic acids 1-3 were exposed to UV irradiation [5-7], sunlight or laboratory lighting [8], a photochemically induced cis/trans-isomerization occurred to give mixtures of acids 1-6. At equilibrium, these solutions contain ca 20-30% of the cis-isomers.

Cell wall-bound acids in graminaceous plants are predominantly feruloyl and p-coumaroyl esters attached to the hemicellulose matrix. The linkage of ferulic acid to hemicellulose has recently been reported in wheat bran [3], sugar cane bagasse [9] and maize [10]. Bound esters of this type have been postulated as precursors of grass lignin [11-13].

4. R1, R2 = H, X=CO2H

5, R1=0CH3, R2=H, X=CO2H

Q. R1, R2 = OCH3, X=CO2H

10. R1, R2 = H , X = CH2 OH

11. R1=0CH3, R2=H, X=CH2OH

12. R₁, R₂= OCH₃, X=CH₂OH

13. R1= OCH3 R2=H, X=CH2O Glu-

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^{2.} R1=0CH3, R2=H,X=CO2H

^{3.} R₁, R₂= OCH₃, X=CO₂H

Z. R1, R2=H, X=CH2OH

^{8.} R1=0CH3, R2=H, X=CH2OH

^{9.} R1, R2= OCH3, X=CH2OH

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According to current dogma, lignins arise exclusively via oxidative coupling of the trans-monolignols 7-9. It was therefore important to note that both the cis (Z) form of coniferyl alcohol (11), and its glucoside, faguside (13), occur in beech bark (Fagus silvatica) [14]. No mention was made of the presence, or the relative amounts, of the corresponding trans (E) isomer (8).

To account for the formation of 11, either a photochemically- or enzymatically-induced isomerization must be occurring at some stage in the conversion of phenylalanine to coniferyl alcohol. The presence of this Z-isomer suggests that beech bark lignin may arise, at least in part, from cis-(Z)-coniferyl alcohol (11). On the other hand, monolignol 11 may simply be a metabolite which is not involved in lignin biosynthesis.

In this study, monolignols were extracted and isolated from beech wood and bark, as well as from other plant species representative of grasses, angiosperms and gymnosperms. In addition, synthetic dehydrogenative polymerized (DHP) lignin was prepared, using either cis- or trans-coniferyl alcohol, and chemically degraded to determine whether the stereochemistry of the monolignols produced had been affected.

RESULTS AND DISCUSSION

Most lignin investigations are carried out with bark-free wood which has been depleted of both organic and hot-water soluble materials by solvent extraction. Subsequent treatment of various pre-extracted woods with THF-water (1:1) at 180° for 50 min results in the release of small quantities of monolignols, presumably in the E(trans) configuration, i.e. compounds 7-9 [15-17]. It is generally accepted that these alcohols, released during this hydrolytic treatment, are chemically bonded to the lignin macromolecule as 'end groups' [15-17]; the most common bonding patterns suggested being phenolic linkages to either α [15-17] or β [18] functionalities of the lignin polymer (Fig. 1).

In our study, each plant was freshly harvested and bark separated from wood at the cambium layer. In the case of bamboo (Bambusa tulda gramineae), greening stem tissue was separated from the vascular bundles. Each tissue sample was then air-dried, ground to a fine powder, and a portion sequentially extracted with hexane, methanol and water. The resulting extracted material was then treated with THF-water; all extracts were then analysed for their monolignol contents. For purposes of comparison, un-

extracted plant material was also treated with THF-water and the resulting soluble material analysed as before.

Extraction of beech wood with hexane and methanol afforded only minute quantities of the trans-(E)-monolignols 8 and 9; no cis-isomers were observed. In a similar manner, when either pre-extracted or unextracted beech wood was treated with THF-water, only the trans-(E)-monolignols were released. These findings are in agreement with our established understanding of lignin formation, i.e. it occurs via a dehydrogenative, free-radical, polymerization of the trans-monolignols 7-9.

On the other hand, the hexane and methanol extracts of beech bark only contained cis-coniferyl (11) and cis-sinapyl (12) alcohols; no trans-isomers were detected. A minor constituent (≤ 3 %) having the same HPLC retention as trans-coniferyl alcohol (8) was detected, but was conclusively shown not to be this material (by NMR evidence). Subsequent treatment of this pre-extracted bark with THF-water (1:1) only afforded small quantities of the corresponding trans-(E)-isomers 8 and 9. When unextracted beech bark was heated with THF-water as before, mixtures of all four monolignols 8, 9, 11 and 12 were obtained.

Thus, since only cis-monolignols are found in the hexane/methanol extracts, it can be postulated that lignification in beech bark occurs via the dehydrogenative polymerization of cis-alcohols 10-12. The resulting lignin should be more or less identical to that produced from the corresponding trans-isomers in wood. This is because the resonance stabilized radicals from both E/Z isomers are separated only by a small energy barrier, when delocalization is extended to the olefinic functionality (Scheme 1). Consequently, THF-water treatment of a lignin built up from either cis- or trans-monolignols should give similar, if not identical, monolignol degradation products. To verify this hypothesis, we synthesized artificial dehydrogenative polymerized (DHP) lignin from both [cis (11) and trans (8)] coniferyl alcohols.

cis-Alcohol 11 was synthesized from vanillin (Scheme 2) as follows. Treatment of the ethoxyethylidene [19, 20] derivative 14 of vanillin with carbon tetrabromide, triphenylphosphine and zinc dust [21] in methylene chloride gave, after reprotection, the dibromo-olefin 15. Reaction of olefin 15 with 2.5 equivalents of n-BuLi in THF, followed by quenching with methyl chloroformate, gave acetylenic ester 16. The required cis-olefinic ester 17 was then cleanly prepared by catalytic hydrogenation using freshly prepared Lindlar catalyst [22]. Reduction of

$$\gamma_{\text{CH}_2-0}$$
 γ_{CH_2-0} γ_{CH_2-0

Fig. 1. Possible coniferyl alcohol end-group bonding patterns in coniferous lignin.

Scheme 1. Concept of lignification using either cis- or trans-monolignols.

Scheme 2. Synthesis of cis-coniferyl alcohol (11).

this ester with the ATE-complex [23] formed from dissobutyl aluminium hydride and n-butyl lithium in toluene, followed by deprotection with acetic acid in methanol gave cis-coniferyl alcohol (11). The synthetic product (overall yield 27% from vanillin) was identical to the natural substance in every respect.

DHP lignin was synthesized, from alcohols 8 or 11, using an H₂O₂/peroxidase enzyme system [24]. Subsequent thermal treatment of either DHP lignin with THF-water afforded only trans-coniferyl alcohol (8) in agreement with our previous observations with pre-extracted bark. These results suggest that beech bark lignin could arise via the dehydrogenative polymerization of the cis-monolignols 10-12, and not from the corresponding trans-isomers 7-9, assuming that the enzyme involved in lignification is a peroxidase with a low specificity for substrate, i.e. either cis- or trans-monomers are acceptable.

An alternative explanation could be that the cismonolignols arise enzymatically or photochemically in bark, and, as they are not suitable substrates for lignin formation, they accumulate. It would follow therefore

that the enzyme(s) involved in polymerization is (are) highly specific and that peroxidase is not the enzyme which functions in vivo. At the moment, it is not possible to determine which hypothesis is correct.

Finally, other plant species, e.g. bamboo (Bambusa tulda gramineae), and bark from the angiosperms, poplar (Populus tremuloides Michx.) and maple (Acer saccharum marsh), and the gymnosperm, black spruce (Picea mariana) were also analysed for their monolignol contents. Surprisingly, we were unable to detect either cis- or transmonolignols in the hexane and methanol extracts from these plant species. Thermolysis with THF-water as before, e.g. with bamboo and poplar, though, resulted in the formation of the trans-isomers as expected. Additional experiments are currently underway to verify whether cis monolignols are involved in the biosynthesis of lignin in grasses and bark.

EXPERIMENTAL

General. HPLC of extracts was carried out using a Waters novapak C-18 column ($5\,\mu m$ particle size) eluted with MeCN-H₂O (3:22) at a flow rate of $0.8\,m$ l/min. Dibal-H was obtained from Aldrich Chemical Co.

cis-Coniferyl alcohol (11) and cis-sinapyl alcohol (12) from beech bark. Fresh beech bark, removed from a 20 year old tree, was immediately sliced into narrow strips. The strips were then frozen (liquid N₂) and disintegrated in frozen form by mechanical rupture (Waring blender). The powdered bark was then removed, thawed and allowed to dry overnight under subdued light (moisture content, 6%). Sequential extraction of bark (117.4 g dry wt) in a Soxhlet afforded, after solvent removal, hexane (2.78 g, 2.37%), MeOH (10.8 g, 9.2%) and H₂O (3.26 g, 2.8%) solubles. An aliquot (~ 100 mg) was then removed for HPLC analysis.

The crude MeOH extract (1 g) was taken and applied to a silica gel column (9 cm × 10 mm) and carefully eluted with petrol-EtOAc (2:1). Selected fractions were combined and evapd to give as colourless oils, cts-coniferyl alcohol (11, 8 mg, 0.8%) and cts-sinapyl alcohol (12, 13 mg, 1.3%). Compound 11, recrystallized from petrol-Et₂O, mp 104-106° (lit. mp [14] $104-106^\circ$); ¹H NMR (CDCl₃): $\delta 1.53$ (1H, t, $J \sim 7$ Hz, CH₂OH), 3.89 (3H, s, OMe), 4.44 (2H, t, $J \sim 7$ Hz, CH₂OH), 5.66 (1H, s, ArOH), 5.77 (1H, td, $J_1 \sim 12$ Hz, $J_2 \sim 7$ Hz, C=CH-CH₂OH).

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6.49 (1H, d, $J \sim 12$ Hz, CH=C), 6.72 (1H, dd, $J_1 \sim 8$ Hz, $J_2 \sim 2$ Hz, ArH), 6.75 (1H, d, $J \sim 2$ Hz, ArH), 6.88 (1H, d, $J \sim 8$ Hz, ArH); IR v_{\max}^{KBr} cm⁻¹: 3450, 3160, 1620, 1605; UV λ_{\max}^{ECOH} nm (log ε): 214 (4.11), 260 (3.98), 292 (3.55); MS m/z: 180, 162 [M - H₂O]⁺, 147 [M-H₂O, Me]⁺. Calc. for C₁₀H₁₂O₃: 180.0786. Found: 180.0724. Compound 12. ¹H NMR (CDCl₃): δ 1.57 (1H, m, CH₂OH), 3.89 (3H, s, OMe), 4.44 (2H, dd, $J_1 \sim 8$ Hz, $J_2 \sim 2$ Hz, CH₂OH), 5.55 (1H, s, ArOH), 5.81 (1H, td, $J_1 \sim 14$ Hz, $J_2 \sim 8$ Hz, C=CH-CH₂OH), 6.48 (2H, s, ArH), 6.51 (1H, d, $J \sim 14$ Hz, CH=C); MS m/z: 210 [M]⁺, 182, 167, 149. Calc. for C₁₁H₁₄O₄: 210.0892. Found: 210.0949.

trans-Coniferyl (8) and sinapyl (9) alcohols from pre-extracted beech bark. Pre-extracted beech bark (59.28 g) was suspended in a soln of THF- $\rm H_2O$ (200 ml, 1:1) in a Teflon-lined stainless steel bomb under an atm of $\rm N_2$. The bomb was then placed in an oil bath at 180° for 50 min, cooled to 20°, filtered and the THF removed in vacuo. The resulting aq. suspension was then extracted with $\rm Et_2O$ (10 × 100 ml). The $\rm Et_2O$ extracts were then combined, dried ($\rm Na_2SO_4$) and evapd to dryness (1.66 g). Flash chromatography on silica gel as before afforded trans-coniferyl (8, 15 mg) and trans-sinapyl (9, 12 mg) alcohols.

cis/trans-Coniferyl (11, 8) and sinapyl (12, 9) alcohols from unextracted beech bark. Unextracted beech bark (47 g) was thermally treated as above in a soln of THF-H₂O to afford Et₂O solubles (2.5 g). The Et₂O extract (1 g) was applied to a silica gel column and eluted as before with petrol-EtOAc (2:1) to give trans-coniferyl (8, 10 mg), cis-coniferyl (11, 35 mg), trans-sinapyl (9, 10 mg) and cis-sinapyl (12, 41 mg) alcohols.

trans-Coniferyl (8) and sinapyl (9) alcohols from beech wood. Unextracted beech wood (23.78 g) was suspended in a soln of THF- H_2O as before to afford, after chromatography transconiferyl (50 mg) and trans-sinapyl (25 mg) alcohols.

trans-Coniferyl alcohol (8) from bamboo. Unextracted bamboo wood (15 g dry wt) was treated with THF-H₂O as before. Chromatography of the Et₂O soluble fraction (1.3 g) afforded trans-coniferyl alcohol (8, 6 mg).

trans-Coniferyl alcohol (8) from poplar. Poplar wood (50 g dry wt) was extracted with hexane, MeOH and H₂O. The resulting extracted material was immersed in THF-H₂O as before. Chromatography of the Et₂O soluble fraction (1.06 g) afforded trans-coniferyl alcohol (8, 30 mg).

Dehydrogenative polymerized lignin (DHP) from cis-coniferyl alcohol (11). Peroxidase enzyme (4.1 mg, activity 860 units, Boehringer Mannheim) was added to a soln of degassed 0.025 M Na₂HPO₄-NaOH buffer (150 ml) at pH 7.5 under an atm of N₂. Solns of H₂O₂ (122 μ l of a 11.8 M H₂O₂ soln dissolved in 0.025 M Na₂HPO₄-NaOH buffer, 300 ml, 1.44 mmol H₂O₂, pH 7.4) and cis-coniferyl alcohol (11, 259 mg, 1.44 mmol in 0.025 M Na₂HPO₄-NaOH, 300 ml) were then added, at equal rates, to the peroxidase soln over a period of 43 hr. The resulting cloudy, pinkish, orange suspension was then centrifuged for 3 hr at 5500 rpm. The ppt so obtained was resuspended and centrifuged for an additional 2.5 hr at 8000 rpm. The solid material was then freeze-dried to give the DHP polymer as a pink-grey solid (133 mg, 52%).

trans-Coniferyl alcohol (8) from DHP polymer. DHP polymer (60 mg) was suspended in a soln of THF-H₂O (5 ml) and autoclaved as described above. The Et₂O solubles were then removed by extraction. Analysis by HPLC showed only the presence of the trans-isomer 8, which was subsequently collected by HPLC (3 mg).

3-Methoxy-4-(ethoxyethylidene-oxy)-benzaldehyde (14). A mixture of vanillin (5 g, 32.86 mmol), ethyl vinyl ether (4 ml, 41.8 mmol) and pyridinium p-toluenesulphonate (300 mg) in dry CH₂Cl₂ (100 ml) was stirred for 6 hr at room temp. Aq. NaHCO₃ (20 ml, 5 % soln) was added and the mixture stirred for 10 min.

The CH₂Cl₂ layer was separated, washed with brine (20 ml), dried (MgSO₄) and the solvent removed in vacuo. The crude product was applied to a silica gel column and then carefully eluted with petrol-EtOAc (4:1) by flash CC. The ethoxyethylidene derivative 14 was obtained as a solid (6.6 g, 90%), mp 34-36°; ¹H NMR (CDCl₃); δ 1.22 (3H, t, J = 7.0 Hz, Me), 1.58 (3H, d, d = 6 Hz, Me), 3.63 (2H, d, d = 7.0 Hz, CH₂), 3.92 (3H, d, OMe), 5.50 (1H, d, d = 6.0 Hz, CHMe), 7.15 (1H, d = 9.0 Hz, ArH), 7.37 (1H, dd, d = 9.0 Hz, d = 2.0 Hz, ArH), 7.38 (1H, d, d = 2.0 Hz, ArH) and 9.80 (1H, d, CHO); MS d = 2.24 [M] $^+$, 153, 152 [M - ethoxyethylidene group] $^+$, 151, 137 [M - ethoxyethylidene group, -Me] $^+$.

1,1-Dibromo-2-(3-methoxy-4-ethoxyethylideneoxyphenyl)ethylene (15). A soln of CBr₄ (21.4 g, 64.5 mmol) in dry CH₂Cl₂ (50 ml) was added dropwise, over a period of 20 min, to a suspension of Zn dust (4.22 g, 64.5 mmol) and triphenyl phosphine (16.91 g, 64.5 mmol) in dry CH₂Cl₂ (75 ml) at 20°. The mixture was stirred for 2 hr, after which the protected vanillin derivative 14 (6.24 g, 27.86 mmol) in CH₂Cl₂ (60 ml) was then added. Following stirring overnight at room temp., the suspension was filtered and the filtrate coned in vacuo to ca 3-5 ml. The crude reaction mixture concentrate was then applied to a column of silica gel. Elution with petrol-EtOAc (4:1) gave a mixture of the protected dibromo-olefin 15 and its de-protected analogue, free from triphenylphosphine oxide. The olefinic mixture was then dissolved in dry CH2Cl2 (75 ml) containing ethyl vinyl ether (3.5 ml, 36.6 mmol) and pyridinium tosylate (100 mg), and stirred at room temp. for 30 min. In a manner analogous to 14, the pure dibromo-olefin 15 was obtained as a yellow oil (7.94 g, 75%); ¹H NMR (CDCl₃): δ 1.20 (3H, t, J = 7.0 Hz, Me), 1.50 (3H, d, J= 6 Hz, Me), 3.60 (2H, dq, J_1 = 7 Hz, J_2 = 2 Hz, CH₂Me), 3.82 (3H, s, OMe), 5.33 (1H, q, J = 6 Hz, CHMe) and 6.97-7.3 (3H, m, q)ArH).

1-Carbomethoxy-2-(3-methoxy-4-ethoxyethylideneoxyphenyl)acetylene (16). n-BuLi (12.3 ml, 1.6 M in hexane, 19.68 mmol) was slowly added dropwise to a soln of dibromo-olefin (15, 3 g, 7.89 mmol) in dry THF (30 ml) at -78° under N2. Following stirring at this temp. for 30 min, a soln of methyl chloroformate (900 mg, 9.52 mmol) in dry THF (20 ml) was added. The suspension was then stirred for 1 hr, after which the temp. was raised to 20° and stirring maintained for an additional 1 hr. H₂O (~ 3 ml) was then carefully added and the THF removed in vacuo. H₂O (20 ml) was added to the residue, which was then extracted with Et_2O (2 × 75 ml). The organic solubles were then combined, washed with brine (20 ml), dried (MgSO₄) and evapd. The crude product was applied to a silica gel column and carefully eluted with petrol-EtOAc (4:1) by flash CC. Fractions containing the acetylenic ester 16 were combined to give the colourless oil (1.16 g, 53 %); ¹H NMR (CDCl₃): δ 1.18 (3H, t, J = 7 Hz, Me), 1.52 (3H, d, J = 6 Hz, Me), 3.60 (2H, q, J = 7 Hz, CH₂Me), 3.80 (3H, s, OMe), 3.83 (3H, s, OMe), 5.38 (1H, q, J = 6 Hz, CHMe), 7.03 (3H, m, ArH); IR v_{max}^{nest} cm⁻¹: 2215, 1715; MS m/z: 278 [M]⁺, 206 [M-ethoxyethylidene group]+, 175 [M-ethoxyethylidene group -OMe]⁺. Calc. for $C_{15}H_{18}O_5$: 278.1154. Found: 278.1175.

Methyl 3-(3-Methoxy-4-ethoxyethylideneoxyphenyl) prop-2Z-enoate (17). To a soln of acetylenic ester (16, 2.39 g, 8.6 mmol) in 95% EtOH (8 ml) was added freshly prepared Lindlar catalyst (1 g)[22]. Following consumption of 1 eq of H_2 , the catalyst was removed by filtration and the resulting filtrate evapd to give the cis-olefinic ester 17 as a colourless oil (2.31 g, 96%); ¹H NMR (CDCl₃); δ 1.20 (3H, t, J = 7 Hz, Me), 1.53 (3H, d, J = 6 Hz, Me), 3.50 (2H, d, d) = 7 Hz, CH₂Me), 3.60 (3H, d), OMe), 3.75 (3H, d), QMe), 5.23 (1H, d), d) = 13 Hz, C=CH), 6.95 (1H, d), d) = 13 Hz, C=CH), 6.95 (2H, d), ArH), 7.45 (1H, d) d) ArH); IR d0 max cm⁻¹: 1725, 1635, 1605; MS d1d2. 280

[M]*, 209, 208 [M -ethyl vinyl ether]*, 206, 193, 178, 177. 3-(3-Methoxy-4-hydroxyphenyl)-prop-2Z-enol (11). 'ATE' complex was prepared by mixing DIBAL-H (15 ml, 1.5 M in toluene, 22.5 mmol) and toluene (23.5 ml) at room temp. The soln was cooled to 0° and n-BuLi (14.06 ml, 1.6 M in hexane, 22.5 mmol) was then added. The mixture was stirred at 0° for 30 min before use. 'ATE' complex was then added to cis-olefinic ester 17 (2 g, 7.14 mmol) in dry THF (25 ml) under N_2 at -78° . Following stirring at this temp. for 30 min, the temp. was raised to 20° and stirring maintained for an additional 1 hr. MeOH (2 ml) was then carefully added and the solvent removed in vacuo. The residue was taken up in ice-H₂O (50 ml), acidified to pH 5 with HCl (0.3 M) and extracted with Et₂O (3 × 100 ml). The extracts were then combined, washed with 5% NaHCO3 soln (2 \times 20 ml), brine (2 \times 20 ml), dried (MgSO₄) and the solvent evaporated in vacuo to give a mixture of cis-coniferyl alcohol (11) and its ethoxyethylidene derivative. The protecting group was then removed by dissolving the crude product in MeOH (65 ml), adding HOAc (1 ml) and stirring at room temp. for 6 hr under N2. Solid NaHCO3 (1 g) was added and the solvent removed in vacuo. To the residue was added H2O (20 ml) and the whole extracted with Et₂O (2 × 75 ml). The Et₂O extracts were combined, washed with brine (20 ml) and dried (MgSO₄). The crude product was applied to a silica gel column and carefully eluted with petrol-EtOAc (2:1). Fractions containing cis-coniferyl alcohol (11) were combined and evapd. Recrystallization from petrol-EtOAc afforded 11 (1.0 g, 78%).

Acknowledgements—The authors thank the Natural Sciences and Engineering Research Council of Canada for financial assistance. Thanks are also extended to J. Watson, Morgan Arboretum, McDonald College, McGill University for providing the fresh plant material used in this study.

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